

Attorney Docket No. 033082 M 331

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

Confirmation No.: 6363

**Toshihisa NOZAWA, et al.**

International Application No.: PCT/JP 2004/019406 Group Art Unit: To Be Assigned

International Filing Date: December 24, 2004

Examiner: To Be Assigned

Serial No.: 10/583,847

Filed: June 21, 2006

For : **TEMPERATURE CONTROLLING METHOD FOR SUBSTRATE PROCESSING  
SYSTEM AND SUBSTRATE PROCESSING SYSTEM**

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/  
ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Response to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed June 11, 2008 is due by August 11, 2008. Applicants file herewith the following documents relating to the above-identified application:

- \* A copy of the Notice of Missing Requirements;
- \* English language translation of specification (24 pages);
- \* Formal Drawings (8 sheets and figs. 1-8);
- \* Second Information Disclosure Statement;
- \* Executed Assignment (2 pages), with Assignment Recordation Cover Sheet;
- \* Executed Declaration/Power of Attorney (3 pages); and
- \* Check for \$300.00 in payment of the following:
  - English translation surcharge (\$130)
  - Late declaration surcharge (\$130), and
  - recordation fee (\$40).

If any additional fees are due in connection with this filing, such as fees under 37 C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees to SGR Deposit Account No. 02-4300. Please credit any overpayment to SGR Deposit Account No. 02-4300.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By :



Michael A. Makuch, Reg. 32,263  
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Washington, D.C. 20036  
Telephone: 202 263 4300  
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Date : July 15, 2008

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$300.00

Complete if Known

Application Number 10/583,847  
Filing Date June 21, 2006  
First Named Inventor Toshihisa NOZAWA  
Examiner Name Not Yet Assigned  
Art Unit Not Yet Assigned  
Attorney Docket No. 033082 M 331

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) :

☒ Deposit Account Deposit Account Number: 02-4300 Deposit Account Name: Smith, Gambrell & Russell, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below,

☒ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments

Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

### 2. EXCESS CLAIM FEES

#### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

#### Total Claims

13-20 or HP=

#### Extra Claims

0

x

#### Fee (\$)

\$50

=

#### Fee Paid (\$)

0

HP = highest number of total claims paid for, if greater than 20.

#### Indep. Claims

2- 3 or HP=

#### Extra Claims

0

#### Fee (\$)

\$210

=

#### Fee Paid (\$)

0

HP = highest number of independent claims paid for, if greater than 3.

Small Entity  
Fee (\$)  
Fee (\$)

50

25

210

105

370

185

#### Multiple Dependent Claims

#### Fee (\$)

\$370

#### Fee Paid (\$)

\$0

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)  
- 100 = 0 / 50 = 0 (round up to a whole number) x = 0

### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (c.g., late filing surcharge): Late Filing Surcharge

Recordation Assignment Fee

#### Fees Paid (\$)

\$130.00


\$130.00

\$40.00

07/17/2008 LLANDGRA 00000042 10583847

01 FC:1617 130.00 OP  
02 FC:1618 130.00 OP

## SUBMITTED BY

Signature  Registration No. 32,263 Telephone 202 263 4300  
Name (Print/Type) Michael A. Makuch Date July 15, 2008



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/583,847	Toshihisa Nozawa	33082M331

441

SMITH, GAMBRELL & RUSSELL  
1130 CONNECTICUT AVENUE, N.W., SUITE 1130  
WASHINGTON, DC 20036

INTERNATIONAL APPLICATION NO.

PCT/JP2004/019406

I.A. FILING DATE

12/24/2004

PRIORITY DATE

12/25/2003

CONFIRMATION NO. 6363  
371 FORMALITIES LETTER

JUN 1 2 2008

SMITH, GAMBRELL & RUSSELL



OC000000030380372

Date Mailed: 06/11/2008

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 06/21/2006
- Copy of the International Search Report filed on 06/21/2006
- Information Disclosure Statements filed on 06/21/2006
- Request for Immediate Examination filed on 06/21/2006
- U.S. Basic National Fees filed on 06/21/2006
- Priority Documents filed on 06/21/2006

MAM  
033082.331  
Missing parts due  
8/11/08

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$260** for a Large Entity:

- **\$130** Surcharge.
- **\$130** for English translation surcharge required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

KTG

RLM

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

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